

17145  
012304  
**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	674525-2008
First Inventor	BODMER et al.
Title	CONJUGATES FOR THE MODULATION OF IMMUNE RESPONSES
Express Mail Label No.	EV 385415653 US

U.S. PTO  
10/16/2004  
10/16/2004  
012304**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

Addressed to: **Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450**

1.  Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original, and a duplicate for fee processing)

2.  Applicant claims small entity status.  
See 37 CFR 1.27.

3.  Specification [Total Pages 84]  
(preferred arrangement set forth below)
 

- Descriptive title of the invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to sequence listing, a table, or a computer program listing appendix.
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

4.  Drawing(s)(35 U.S.C. 113) [Total Sheets 11]

5. Oath or Declaration (Unsigned) [Total Pages 3]
 

- a.  Newly executed (original or copy)
- b.  Copy from a prior application (37 CFR 1.63(d))
  - i.  **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

6.  Application Data Sheet. See 37 CFR 1.76.

7.  CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)

8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 

- a.  Computer Readable Form (CFR)
- b. Specification sequence Listing on:
  - i.  CD-ROM or CD-R (2 copies); or
  - ii.  paper
- c.  Statements verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

9.  Assignment Papers (cover sheet & documents(s))

10.  37 CFR 3.73(b) Statement  Power of Attorney (when there is an assignee)

11.  English Translation Document (if applicable)

12.  Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS Citations

13.  Preliminary Amendment

14.  Return Receipt Postcard (MPEP 503) (Should be specifically itemized)

15.  Certified Copy of Priority Document(s) (if foreign priority is claimed)

16.  Request and Certification under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach from PTO/SB/35 or its equivalent.

17.  Other: \_\_\_\_\_

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation  Divisional  Continuation-in-part (CIP) of prior application No.: PCT/GB02/03381, filed

July 25, 2002

Prior application information: Examiner: \_\_\_\_\_ Group/Art Unit: \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**19. CORRESPONDENCE ADDRESS**

<input checked="" type="checkbox"/> Customer Number	20999		or <input type="checkbox"/> Correspondence address below
Name	Thomas J. Kowalski		
Address	745 Fifth Avenue, 10 <sup>th</sup> Floor		
City	New York	State	NY
Country	USA	Telephone	(212) 588-0800
Name (Print/Type)	Thomas J. Kowalski Anne-Marie C. Yvon, Ph.D.	Registration No. (Attorney/Agent)	32,147 52,390
Signature	<i>Thomas J. Kowalski</i>		Date
			January 23, 2004

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012304

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75  
U.S.P.T.O.**FEET TRANSMITTAL  
for FY 2003**

Effective 01/01/2003. Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$1,004.00)

**Complete if Known**

Application Number

To Be Assigned

Filing Date

HEREWITH

First Named Inventor

BODMER, et al.

Examiner Name

To be assigned

Attorney Docket No.

674525-2008

**METHOD OF PAYMENT (check all that apply)** Check  Credit Card  Money Order  Other  None Deposit Account:Deposit  
Account  
Number

50-0320

Deposit  
Account  
Name

Frommer Lawrence &amp; Haug LLP

**The Commissioner is authorized to: (check all that apply)**

Charge fee(s) indicated below  Credit any overpayments  
 Charge any additional fee(s) during the pendency of this application  
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity		Small Entity		<u>Fee Description</u>	Fee Paid
Fee	Code	Fee	Code		
1001	770	2001	385	Utility filing fee	770.00
1002	340	2002	170	Design filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	
SUBTOTAL (1)		(\$770.00)			

**2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

Total Claims	-20** =	Extra Claims	Fee from below	Fee Paid
33		13	18.00	234.00
Independent Claims	-3** =	0	0	0.00
Multiple Dependent				0.00

**Large Entity**

Large Entity		Small Entity		<u>Fee Description</u>	Fee Paid
Fee	Code	Fee	Code		
1202	18	2202	9	Claims in excess of 20	
1201	86	2201	43	Independent claims in excess of 3	
1203	290	2203	145	Multiple dependent claim, if not paid	
1204	86	2204	43	** Reissue independent claims over original patent	
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)		234.00(\$)			

\*\* or number previously paid, if greater; For Reissues, see above

**FEE CALCULATION (continued)****3. ADDITIONAL FEES****Large Entity****Small Entity**

Fee Code	Fee \$	Fee Code	Fee \$	Fee Description	Fee Paid
1051	130	2051	65	Surcharge – late filing fee or oath	
1052	50	2052	25	Surcharge – late provisional filing fee or Cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	420	2252	210	Extension for reply within second month	
1253	950	2253	475	Extension for reply within third month	
1254	1480	2254	740	Extension for reply within fourth month	
1255	2010	2255	1005	Extension for reply within fifth month	
1401	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	290	2403	145	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive – unavoidable	
1453	1330	2453	665	Petition to revive- unintentional	
1501	1330	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	640	2503	320	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	770	2809	385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	770	2810	385	For each additional invention to be examined (37 CFR 1.129(b))	
1801	770	2801	385	Request for continued examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

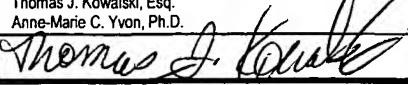
Other fee (specify)

SUBTOTAL (3) (\$ )

\* Reduced by Basic Filing Fee

Complete (if applicable)

**SUBMITTED BY**

Name (Print/Type)	Thomas J. Kowalski, Esq. Anne-Marie C. Yvon, Ph.D.	Registration No. (Attorney/Agent)	32,147 52,390	Telephone	(212) 588-0800
Signature				Date	01/23/04

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggesting or reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop AFC/Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

00171804

**CERTIFICATE OF MAILING - SEPARATE PAPER - PATENT APPLICATION**

Attorney Docket No. : 674525-2008

Title : CONJUGATES FOR THE MODULATION OF IMMUNE RESPONSES

Filed : Herewith

Applicants : Mark William BODMER, Brian Robert CHAMPION, Grahame James MCKENZIE and Lucy Emma NYE

Mailed : Via Express Mail Label # EV 385415653 US

Date : January 23, 2004

I hereby certify that the following items are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to:

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Enclosed: Transmittal  
Application for Patent  
(80 pages of specification, 3 pages of claims numbered 1-33, 1 page of abstract)  
Drawings (11 sheets, Figures 1-11)  
Information Disclosure Statement, PTO-1449 and references  
PCT/RO/101, PCT/ISA/206, PCT/ISA/220, 210  
PCT/IPEA/401, 408  
Declaration for Patent Application and Power of Attorney (unsigned)  
Check in the amount of \$1,004.00  
Return Receipt Postcard

Charles Siers  
Typed/printed name of person mailing paper or fee

Charles Siers  
Signature